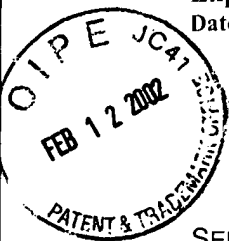


Express Mail Label No.: EK005153343US
Date of Deposit: February 12, 2002

02/14/02 m
PATENT APPLICATION
Attorney Docket No. 24220-012

Section 3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Srikanth Sundararajan
SERIAL NUMBER : 09/994,386 EXAMINER : Not Yet Assigned
FILING DATE : November 7, 2001 ART UNIT : 2161
FOR : METHOD AND SYSTEM FOR MANAGING COMPONENT CHANGES
TO A BUSINESS CRITICAL APPLICATION IN A PRODUCTION
ENVIRONMENT

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-referenced patent application are the following documents:

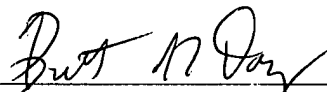
1. Copy of Notice to File Missing Parts of Nonprovisional Application (1 pg.);
2. Response to Notice to File Missing Parts (1 pg.);
3. Corrected Drawings (2 pages) omitted from prior Response filed February 5, 2002;
5. Copy of Check No. 11559 in the amount of \$435.00 to cover Missing Parts fee sent with prior transmittal dated February 5, 2002 filing Combined Declaration and Power of Attorney;
6. Petition for One Month Extension;
6. Check No. 11727 in the amount of \$55.00 for extension; and
4. Return postcard.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617-542-6000, Boston, Massachusetts.

The Commissioner is authorized to charge any additional fees that may be due, or to credit any overpayment, to the undersigned's account, Deposit Account No. 50-0311, Ref. No. 24220-012. A duplicate copy of this transmittal letter is enclosed herewith.

Respectfully submitted,

Date: February 12, 2002


Brett N. Dorny, Reg No. 35,860
Attorney for Applicants
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

Express Mail Label No.: E 5153343US
Date of Deposit: February 12, 2002

PATENT APPLICATION
Attorney Docket No. 24220-012

3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Srikanth Sundararajan
SERIAL NUMBER : 09/994,386 EXAMINER : Not Yet Assigned
FILING DATE : Nov. 27, 2001 ART UNIT : 2161
FOR : METHOD AND SYSTEM FOR MANAGING COMPONENT CHANGES
TO A BUSINESS CRITICAL APPLICATION IN A PRODUCTION
ENVIRONMENT

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

In response to the Notice To File Missing Parts mailed December 6, 2001, Applicants submit herein Corrected Drawings (2 pages) which were previously omitted from Response filed on February 5, 2002; a Petition for One Month Extension of Time; payment of \$55.00 for filing Petition for Extension of Time; and a copy of Notice to File Missing Parts. (Please Note that payment of the surcharge of \$435.00 as set forth in 37 C.F.R. §1.16(e) was paid with previous Response. Copy of check No. 11559 enclosed).

The Commissioner is authorized to charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 24220-012.

Respectfully submitted,

Date: February 12, 2002

Brett N. Dorny, Reg. No. 35,860
Attorney for Applicant
c/o MINTZ, LEVIN
One Financial Center
Boston, Massachusetts 02111
Tel: (617) 542-6000
Fax: (617) 542-2241

LIT 1315162v1

09/994,386



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/994,386	11/27/2001	Srikanth Sundararajan	24220-012

CONFIRMATION NO. 7267

FORMALITIES LETTER



OC000000007163312

MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY and POPEO, P.C.
One Financial Center
Boston, MA 02111

RECEIVED

DEC 10 2001

MINTZ LEVIN, BOSTON
PATENT DOCKET DEPT.

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 435.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

<input checked="" type="checkbox"/> Data Entry	Done By <i>Jan</i>
<input checked="" type="checkbox"/> Docket Entry	
<input type="checkbox"/> Docket: Cross Off	
<input type="checkbox"/> Previously Entered	
<input type="checkbox"/> No Docketing Req.	
<input type="checkbox"/> ELITE	2/6/1
<input type="checkbox"/> Annulments	7/6

Chudy

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

20250330 09:35:50

Express Mail: EK005153357US

MINTZ LEVIN COHN FERRIS GLOVSKY

& POPEO PC
ONE FINANCIAL CTR.
BOSTON, MA 02111

11599

PAY
TO THE
ORDER OF

DATE 2/5/02

5-13/110

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FOR 24220-012 BND

⑈011599⑈ ⑆011000138⑆ 00545 85025⑈



Serial No. 09/994,386 File No. 24220-012 By: BND
Title: Method and System for Managing Component Changes to a
Business Critical Application in a Production Environment
Application of Srikanth Sundararajan Date: Nov. 27, 2001

The U.S. PTO Mail Room acknowledges receipt of the following on the date stamped hereon:

- | | |
|---|--|
| <input type="checkbox"/> Req. for CPA under 37 CFR 1.53(d) | <input type="checkbox"/> Provisional Application Cover Sheet |
| <input type="checkbox"/> Change of Attorney's Address | <input type="checkbox"/> Inf. Discl. Statement, PTO Form 1449 |
| <input type="checkbox"/> New Power of Attorney | <input type="checkbox"/> References Cited |
| <input type="checkbox"/> Patent Application | <input checked="" type="checkbox"/> Copy of Notice to File Missing Parts |
| <input type="checkbox"/> Non-provisional <input type="checkbox"/> Provisional | <input type="checkbox"/> Amendment/Response |
| Incl. _____ pages, (_____ pgs) Specification, | <input type="checkbox"/> Petition for Ext. of Time (x2) |
| (_____ pgs) Abstract, (_____ pgs) Claims (_____ # claims) | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Design Patent Application | <input type="checkbox"/> Letter to Official Draftsperson |
| <input checked="" type="checkbox"/> Declaration <input checked="" type="checkbox"/> and Power of Attorney | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Drawings _____ sheet(s) (FIGS. _____) | <input type="checkbox"/> Brief (x3) |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input checked="" type="checkbox"/> Check for 435.00 Check # 11599 |
| <input type="checkbox"/> Verified Statement claiming small entity status | <input checked="" type="checkbox"/> Transmittal Letter (x2) |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Cert. of Mailing under 37 CFR 1.8(a) |
| | <input type="checkbox"/> Express Mail Label No. EK005153357US |
| <input type="checkbox"/> Other Response to Notice to File Missing Parts | |

DATE MAILED _____